

METHODOLOGICAL ANNEX

Throughout the process that has led to the publication of GOLD IV, a geo-spatial database was created and constantly updated. This was to achieve two main goals: first, to refine the classification advanced by the United Nations Department of Economic and Social Affairs (UN-DESA, 2015) on urban population residing in metropolitan areas, intermediary cities and small towns; and, second, to integrate this information with cartography, in particular of i-cities within different urban and regional urban systems.

This Methodological Annex presents the extraction, analysis and construction processes of the geo-spatial database developed for GOLD IV.

Furthermore, it enumerates the seven world regions – and the states that form them – defined by UCLG and used to organize the geo-spatial database of GOLD IV.

WORKING PROCESS: SOURCE ANALYSIS AND DATA EXTRACTION, TRANSFORMATION AND LOADING

The main objective of the GOLD IV geo-spatial database was to develop the catalogue and cartography for all cities with a population of 50,000 or more – i.e. the threshold used by the report to distinguish between i-cities and small towns.

To this end, five main sources were used: the Population Division of UN-DESA;¹ the Lincoln Institute;² the UNESCO Chair for Intermediary Cities (UNESCO-CIMES) at the University of Lleida (Spain); the web portal City Population;³ and the web portal Demographia.⁴

The information available on the databases of both the Lincoln Institute and UNESCO-CIMES, specifically, was not up-to-date.

Consequently, the World Urbanization Prospects (WUP) was used as the main source of information for cities above 300,000 inhabitants; and the information available on Demographia was the main source for cities between 300,000 and 50,000 inhabitants.

These two databases were then compared with national censuses available for the year 2015. In many cases, individual urban population projections were used for each city. The quality of available data, however, is quite variable.

Many African countries only had available census information between 2005 and 2009. The City Population web portal, for instance, questions the reliability of Nigeria's 2006 census, due to structural mistakes, and refers to the 1991 census.

The latest official census in Somalia dates back to 1975. Moreover, databases do not normally operationalize the effects of wars and conflict effectively – e.g. in Syria, Iraq, or Congo, among others.

The city of Aleppo (Syria), for instance, had two million inhabitants according to the 2004 census: unofficial sources referred, in 2016, to a besieged population of just 300,000, although this information cannot easily be verified.

Collected data for 2015 was then organized by city size. The category of 'Metropolises' (one million inhabitants or more) is

organized in four sub-groups: above 20 million; 10-20 million; 5-10 million; and 1-5 million inhabitants.

The 'Intermediary Cities' category was divided into four sub-groups: 500,000-one million; 300,000-500,000; 100,000-300,000; and 50,000-100,000 inhabitants. The difference between the total urban population recorded by the WUP and the sub-totals of all the above-mentioned sub-groups corresponds to the population of urban centres with 50,000 inhabitants or fewer.

Even though census information was available for small towns down to 20,000 inhabitants, both the magnitude of the task and the project's time constraints have not permitted a quantitatively detailed analysis for this category.

The cartography for the report was based on the updated databases. It allowed for the visual representation of each and every one of the 10,000 cities of the geo-spatial database of City Population, with tailored queries for updated satellite imagery accessible through Google Earth Pro and ArcGIS Earth.

METHODOLOGICAL NOTES

METROPOLISES

According to the WUP, in 2015 there were 29 megacities with more than 10 million inhabitants. According to Demographia and City Population sources, however, this number rose to 34. This gap was due to a difference in the definition of metropolitan areas. Tokyo (Japan), for example, with 38 million inhabitants, is still the largest urban agglomeration on the planet according to UN-DESA and Demographia.

In the City Population database, on the other hand, Guangzhou (China) is already the largest, with 47.7 million inhabitants, considering that its metropolitan area also includes the cities of Dongguan, Foshan, Jiangmen, Shenzhen and Zhongshan. Using these criteria, Hong Kong could be added to this agglomeration. The resulting urban mega-region would easily exceed 50 million inhabitants.

Similarly, according to Demographia, Seoul-Incheon (South Korea) is the world's fifth largest metropolitan area with 23 million inhabitants. City Population meanwhile ranks it sixth largest with 24.4 million inhabitants, since the area also includes Bucheon, Goyang, Incheon, Seongnam and Suwon. However, UN-DESA limits Seoul's population to 9.7 million inhabitants in 2015, since it considers it separately from the other municipalities.

Manila (the Philippines) is ranked the fourth largest metropolitan area by Demographia, with a population of 24 million. It drops to eighth largest in the City Population database, with over 23 million inhabitants, including Kalookan and Quezon City in its metropolitan area. According to the WUP, Manila has 12 million inhabitants.

Finally, Jakarta (Indonesia) reaches a total population of 30 million people, if considered together with neighbouring Bekasi, Bogor, Depok and Tangerang Selatan. According to UN-DESA, however, it barely reaches 10 million inhabitants.

Given all of the above, for reasons of consistency, the GOLD IV report follows rigorously the data and figures of UN-DESA.

INTERMEDIARY CITIES

Consistent with the adopted definitional threshold – fewer than one million inhabitants – the category of ‘Intermediary Cities’ used in the report includes a significant number of African and Asian cities. These have a population of approximately 900,000 that, in all likelihood, will exceed one million inhabitants in the next few years (if given all of the above not already). A similar phenomenon is occurring in urban settlements that, while not statistically included in this group, have a population of almost 50,000 and will soon exceed this. These changes will be taken into account in future revisions and updates of the GOLD database.

SMALL TOWNS

As regards the quantification of the world’s population living in small towns, the task was severely hindered by the quality and obsolescence of census data in many African, Asian and Latin American cities of 20,000 inhabitants or fewer.⁵

Finally, the geo-spatial database which the GOLD IV analysis builds on has been essential to a visual representation – drawn for the first time – of the world’s approximately 9,500 cities with 50,000 inhabitants or more. This map is useful also to show the relative weight of i-cities when compared with metropolitan areas and megacities. Even taking into account a certain margin of calculation error in the construction of the database, the data and their visualization accurately reproduce the census information that is available worldwide. The GOLD database will be updated periodically, and its range of indicators will progressively increase.

UCLG REGIONS

The UCLG network is a decentralized structure composed of seven regional sections, a metropolitan section and a regional government section. The following is a list of the countries that form each of the UCLG Regions. Many of the graphics and illustrations of the report refer to these.

AFRICA REGION

East Africa: Burundi, Djibouti, Eritrea, Ethiopia, Kenya, Madagascar, Rwanda, Somalia, South Sudan, Sudan, Uganda, Tanzania. **Small Island States:** Comoros, Mauritius, Mayotte, Reunion, Seychelles. **Central Africa:** Cameroon, Central African Republic, Chad, Congo, DR Congo, Equatorial Guinea, Gabon, São Tomé and Príncipe. **North Africa:** Algeria, Egypt, Libya, Mauritania, Morocco, Tunisia. **Southern Africa:** Angola, Botswana, Lesotho, Malawi, Mozambique, Namibia, South Africa, Swaziland, Zambia, Zimbabwe. **West Africa:** Benin, Burkina Faso, Cabo Verde, Côte d’Ivoire, Gambia, Ghana, Guinea, Guinea-Bissau, Liberia, Mali, Niger, Nigeria, Senegal, Sierra Leone, Togo.

ASIA-PACIFIC REGION

East and Northern Asia: PR of China, DP Republic of Korea, Japan, [Mongolia],⁶ Republic of Korea. **South Asia:** Bangladesh, Bhutan, India, Maldives, Nepal, Pakistan, Sri Lanka. **South-Eastern Asia:** Brunei Darussalam, Cambodia, Indonesia, Lao People’s DR, Malaysia, Myanmar, Philippines, Singapore, Thailand, Timor-Leste, Viet Nam. **Pacific:** Australia, New Zealand. **Small Island States:** Melanesia (Fiji, New Caledonia, Papua New Guinea, Solomon Islands, Vanuatu), Micronesia (Guam, Kiribati, Marshall Islands, Federated States of Micronesia, Nauru, Northern Mariana Islands, Palau), Polynesia (American Samoa, Cook Islands, French Polynesia, Niue, Samoa, Tokelau, Tonga, Tuvalu, Wallis and Futuna Islands).

EURASIA REGION

Central Asia: Kazakhstan, Kyrgyzstan, Mongolia, Tajikistan, Turkmenistan, Uzbekistan. **Caucasus:** Armenia, Azerbaijan, Georgia. **Eastern Europe:** Belarus, Russian Federation, Ukraine.

EUROPE REGION

Albania, Andorra, Austria, Belgium, Bosnia and Herzegovina, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, FYR of Macedonia, Germany, Greece, Hungary, Iceland, Ireland, [Israel],⁷ Italy, Latvia, Liechtenstein, Lithuania, Luxembourg, Malta, Moldova (Republic of), Monaco, Montenegro, Netherlands, Norway, Poland, Portugal, Romania, San Marino, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, United Kingdom.

LATIN AMERICA AND THE CARIBBEAN REGION

Caribbean: Cuba, Dominican Republic, [Haiti, Jamaica, Puerto Rico, Trinidad and Tobago].⁸ **Central America and Mexico:** Belize, Costa Rica, El Salvador, Guatemala, Honduras, Mexico, Nicaragua, Panama. **South America:** Argentina, Bolivia, Brazil, Chile, Colombia, Ecuador, Guyana, Paraguay, Peru, Suriname, Uruguay, Venezuela.

MIDDLE EAST AND WEST ASIA (MEWA) REGION

Southern Asia: Afghanistan, Iran. **Middle East and The Gulf:** Bahrain, Iraq, Jordan, Kuwait, Lebanon, Palestinian N.A., Oman, Qatar, Saudi Arabia, Syrian Arab Republic, Turkey, United Arab Emirates, Yemen.

NORTHERN AMERICA REGION

(including some Caribbean countries)

Northern America: Canada, United States of America. **Caribbean:** Antigua and Barbuda, Bahamas, Barbados, Haiti, Jamaica, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Trinidad and Tobago.

NOTES

1. UN-DESA, *World Urbanization Prospects: The 2014 Revision* (New York: United Nations, Department of Economic and Social Affairs - UN-DESA, 2015), <https://esa.un.org/unpd/wup/Publications/Files/WUP2014-Report.pdf>.
2. The Lincoln Institute’s *Atlas of Urban Expansion* is available online at this address: <http://datatoolkits.lincolninst.edu/subcenters/atlas-urban-expansion/Default.aspx>. Chapter 5 on, *Google Earth Data for the Universe of 3,646 Cities* was a main source in the construction of the database. This chapter is available at <http://datatoolkits.lincolninst.edu/subcenters/atlas-urban-expansion/google-earth-data.aspx>.
3. City Population, section on *Population Statistics for Countries*. The web page is available online at this address: <http://www.citypopulation.de/>.
4. Demographia, *World Urban Areas 2015*, available online at this address: <http://www.demographia.com/>.
5. David Satterthwaite, *Outside the Large Cities: The Demographic Importance of Small Urban Centres and Large Villages in Africa, Asia and Latin America*, Human Settlements Working Paper (London: IIED, 2006).
6. For statistical reasons, Mongolia has been included in the Asia-Pacific region, even though it is a member of the UCLG Eurasian region.
7. For political reasons, Israel is a member of the Council of European Municipalities and Regions (CEMR), the European section of UCLG, and not of the MEWA region. However, data for Israel have not been included in the analyses focusing on Europe.
8. For statistical reasons, Haiti, Jamaica, Puerto Rico and Trinidad and Tobago have been included in the Latin America and the Caribbean region, even though they are members of UCLG Noram, the Northern American region of UCLG.

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CONCLUSIONS

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